Alkylation of active methylene compounds with alcohols catalyzed by an iridium complex[†]

Masao Morita, Yasushi Obora and Yasutaka Ishii*

Received (in Cambridge, UK) 13th February 2007, Accepted 11th April 2007 First published as an Advance Article on the web 30th April 2007 DOI: 10.1039/b702293j

Base-free catalytic α -alkylation of active methylene compounds with primary alcohols was successfully achieved using an [IrCl(cod)]₂ complex in the presence of PPh₃ to afford the corresponding saturated α -alkylated products in good yields.

The transition metal-catalyzed aldol reaction of active methylene compounds with carbonyl compounds provides a useful tool for construction of new carbon-carbon bonds.¹ For example, Murahashi and co-workers reported that Ru complexes like RuH₂(PPh₃)₄ promote efficiently the aldol condensation of nitriles with aldehydes.^{1*a*-*c*} However, there has been little study so far on the direct catalytic α-alkylation of nitriles with alcohols, but not aldehydes, which provides a promising synthetic tool. Recently, we have reported that the [IrCl(cod)]₂ catalyst combined with KOH promotes the α-alkylation of methyl ketones with primary alcohols leading to α -alkylated ketones.² During the course of this study, we found that this catalytic system promotes the alkylation of arylacetonitriles with primary alcohols to give α -alkylated nitriles in good yields.³ Recently, Grigg and co-workers have also reported the alkylation of arylacetonitriles with alcohols by [Cp*IrCl₂]₂ in the presence of KOH.⁴ The same reaction was achieved by a heterogeneous catalyst consisting of Ru-grafted hydrotalcite.⁵ We wish to report here that active methylene compounds like alkyl cyanoacetates undergo alkylation with primary alcohols under the influence of Ir complexes like [IrCl(cod)]2 and [IrCl(coe)2]2 without any base to give the corresponding saturated α -alkylated products in good yields (eqn 1).‡

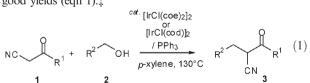


Table 1 shows the reaction of butyl cyanoacetate (1a) with 1-butanol (2a) in the presence of Ir complexes under several reaction conditions. 1a (1 mmol) reacted with 2a (1 mmol) in the presence of $[IrCl(cod)]_2$ (0.05 mmol) and PPh₃ (0.2 mmol) in *p*-xylene (1 cm³) at 130 °C for 15 h, giving butyl 2-cyanohexanoate (3aa) in 81% yield (entry 1). When two equiv. of 2a (2 mmol) with respect to 1a was employed, the yield of 3aa increased to 96% (entry 2). The present reaction afforded only saturated alkyl cyanoacetate 3aa in contrast to the Ru-catalyzed reaction of alkyl cvanoacetates with aldehvdes by Murahashi et al. where (E)- α,β -unsaturated nitriles were formed.^{1*a,b*} Although the reaction of methyl ketones with alcohols using [IrCl(cod)]₂ calls for the coexistence of a strong base like KOH,⁴ the present alkylation could be efficiently achieved without any base. This may be attributed to the stronger acidity of the α -hydrogen of **1a** than that of methyl ketones. When [IrCl(coe)2]2 was used instead of [IrCl(cod)]2 under these conditions, 3aa was obtained in quantitative vield (entry 3). The reaction was found to be considerably affected by the phosphine ligands used. No alkylation was induced by aliphatic phosphine ligands like PCy₃ or ^tBu₃P, or without a ligand (entries 4-6). The bidentate phosphine ligand dppe was also inefficient (entry 7). Several Ir complexes other than [IrCl(coe)₂]₂ were examined. Trivalent iridium complexes like IrCl₃ and [Cp*IrCl₂]₂, which shows high catalytic activity for the alkylation of arylacetonitriles with alcohols in the presence of KOH,⁴ were found to be inert in the present reaction (entries 8 and 9).

On the basis of these results, the α -alkylation of **1a** with various alcohols was examined under optimized reaction conditions (Table 2). The alkylation of **1a** with a higher alcohol like 1-heptanol (**2c**) proceeded more smoothly than that with a lower alcohol like ethanol (**2b**) to give butyl 2-cyanononanoate (**3ac**) (95%) and butyl 2-cyanobutyrate (**3ab**) (43%), respectively (entries 1 and 2). 3-Methyl-1-butanol (**2d**), which has a methyl side chain, and 2-phenylethanol (**2e**) reacted with **1a** to form the

Table 1 Reaction of **1a** with **2a** in the presence of an Ir complex under several reaction conditions^{*a*}

| NC | 0 0 ⁿ Bu + / | _{ОН} 2а | Ir-catalyst p-xylene 130°C, 15 h | O ⁿ Bu 3aa ^{CN} |
|----------------|--------------------------------------|---------------------|--|--|
| Entry | Ir complex | Ligand | Conversion (%) | $\operatorname{Yield}^{b}(\%)$ |
| 1 ^c | [IrCl(cod)]2 | PPh ₃ | 84 | 81 |
| 2 | $[IrCl(cod)]_2$ | PPh ₃ | >99 | 96 |
| 3 | $[IrCl(coe)_2]_2$ | PPh ₃ | >99 | 99 (96) |
| 4 | $[IrCl(coe)_2]_2$ | None | 19 | n.d. ^d |
| 5 | $[IrCl(coe)_2]_2$ | PCy ₃ | 47 | n.d. ^d |
| 6 | $[IrCl(coe)_2]_2$ | $P^{t}Bu_{3}$ | 29 | 2 |
| 7^e | $[IrCl(coe)_2]_2$ | dppe | 74 | 32 |
| 8 | [Cp*IrCl ₂] ₂ | PPh ₃ | No reaction | |
| 9 | IrĈl ₃ | PPh ₃ | No reaction | |

^{*a*} **1a** (1 mmol) was allowed to react with **2a** (2 mmol) in the presence of an Ir complex (0.05 mmol) and phosphine ligand (0.2 mmol) in *p*-xylene (1 cm³) at 130 °C for 15 h unless otherwise noted. ^{*b*} GLC yields. The number in parenthesis shows isolated yield. ^{*c*} **2a** (1 mmol) was used. ^{*d*} Not detected by GC. ^{*e*} Ligand (0.1 mmol) was used.

Department of Applied Chemistry, Faculty of Engineering, Kansai University, Yamate-cho, Suita, Osaka 564-8680, Japan. E-mail: ishii@ipcku.kansai-u.ac.jp; Fax: +81-6-6339-4026; Tel: +81-6-6368-0793

[†] Electronic supplementary information (ESI) available: Compound characterization data (¹H, ¹³C NMR, IR and MS) for **3**. See DOI: 10.1039/b702293j

| Entry | Alcohol (2) Product (3) | | | | Yield $(\%)^b$ |
|------------------------|-------------------------|----|---|-----|----------------|
| 1 ^c | ∕он | 2b | CO2 ⁿ Bu CN | 3ab | 43 |
| 2 | ~~~_он | 2c | CO2 ⁿ Bu CN | 3ac | 95 (94) |
| 3 | ОН | 2d | CO ₂ ⁿ Bu | 3ad | 83 |
| 4 | Ph | 2e | Ph CO2 ⁿ Bu CN | 3ae | >99 (91) |
| 5 | РһОН | 2f | Ph CO2 ⁿ Bu CN | 3af | 93 |
| 6 | ОН | 2g | CO2 ⁿ Bu CN | 3ag | 78 |
| $7^{d,e}$ | H ₂ N OH | 2h | H ₂ N CO ₂ ⁿ Bu CN | 3ah | 76 |
| 8 ^f | но он | 2i | ⁿ BuO ₂ C CN CN ⁴ CO ₂ ⁿ Bu | 3ai | 72 (71) |
| 9 | MeO | 2j | MeO CO 2 ⁿ Bu CN | 3aj | 94 (91) |
| 10 ^{<i>d</i>} | Ph~0~OH | 2k | Ph O CO2 ⁿ Bu CN | 3ak | >99 (93) |

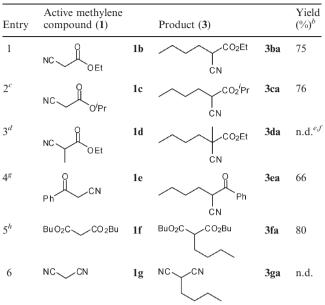
Table 2 Alkylation of 1a with various alcohols catalyzed by an Ir complex^{*a*}

^{*a*} **1a** (1 mmol) was allowed to react with alcohol **2** (2 mmol) in the presence of $[IrCl(cod)]_2$ (0.05 mmol) and PPh₃ (0.2 mmol) in *p*-xylene (1.0 cm³) at 130 °C for 15 h. ^{*b*} GLC yields. The numbers in parentheses show isolated yields. ^{*c*} **2b** (4 mmol) was used. ^{*d*} [IrCl(coe)_2]_2 (0.05 mmol) was used instead of [IrCl(cod)]_2. ^{*e*} Solvent (1.5 cm³) was used. ^{*f*} **1a** (2 mmol) was allowed to react with **2i** (1 mmol) in the presence of [IrCl(cod)]_2 (0.05 mmol) and PPh₃ (0.2 mmol) in *p*-xylene (1 cm³) at 130 °C for 20 h.

corresponding alkylated products, **3ad** (83%) and **3ae** (>99%) (entries 3 and 4). Benzyl and substituted benzyl alcohols, **2f**, **2g**, and **2h**, gave **3af** (93%), **3ag** (78%) and **3ah** (76%), respectively (entries 5–7). It is interesting that 1,6-hexanediol (**2i**) reacted with two equiv. of **1a**, giving 1,8-dibutyl-2,6-dicyanosuberic acid (**3ai**) in 72% yield (entry 8). 2-Methoxyethanol (**2j**) and 2-(benzyloxy)-ethanol (**2k**) afforded **3aj** (94%) and **3ak** (>99%), respectively (entries 9 and 10).

Furthermore, we tried the reaction of several active methylene compounds with **2a** (Table 3). Ethyl cyanoacetate (**1b**) and isopropyl cyanoacetate (**1c**) reacted with **2a** to give **3ba** (75%), and **3ca** (76%), respectively (entries 1 and 2). However, for ethyl 2-cyanopropionate (**1d**), the methyl substituent on the α -carbon hampered the alkylation with **2a**, and a transesterification reaction took place to afford butyl 2-cyanopropionate as the product (entry 3).⁶ Benzoylacetonitrile (**1e**) produced α -cyanohexanophenone (**3ea**) in 66% yield (entry 4). Dibutyl malonate (**1f**) led to dibutyl 2-butylmalonate (**3fa**) (80%) (entry 5). Unfortunately, the reaction of malononitrile (**1g**) with **2a** resulted in a mixture of unidentified polymerized products (entry 6).

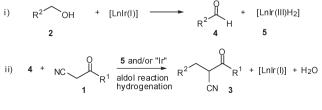
Table 3Alkylation of various active methylene compounds with 2acatalyzed by [IrCl(cod)]2 catalyst^a



^{*a*} The active methylene compound (1 mmol) was allowed to react with **2a** (2 mmol) in the presence of $[IrCl(cod)]_2$ (0.05 mmol) and PPh₃ (0.2 mmol) in *p*-xylene (1.0 cm³) at 130 °C for 15 h. ^{*b*} GLC yields. ^{*c*} **1a** (4 mmol) was used. ^{*d*} **1d** (1 mmol) was reacted with **2a** (3 mmol) in the presence of $[IrCl(cod)]_2$ (0.05 mmol), dppe (0.1 mmol) in mesitylene (1.0 cm³) at 150 °C for 15 h. ^{*e*} Not detected by GLC. ^{*f*} Transesterification product, butyl 2-cyanopropionate, was obtained in 52% yield. ^{*g*} *p*-Xylene (1.5 cm³) was used. ^{*h*} **1f** (4 mmol) was allowed to react with **2a** (1 mmol) in the presence of $[IrCl(cod)]_2$ (0.05 mmol), dppe (0.1 mmol) and Cs₂CO₃ (0.2 mmol) in *p*-xylene (1.0 cm³) at 130 °C for 15 h.

Although a detailed reaction mechanism is not confirmed at this stage, the Ir catalyst serves as a hydrogen acceptor from the alcohol to give the corresponding aldehyde and an Ir-dihydride species^{2,7,8} as a key intermediate of this reaction. Subsequently, the aldehyde is subjected to reaction with the active methylene compound *via* an Ir-catalyzed aldol-type reaction followed by hydrogenation that affords the saturated α -alkylated product (Scheme 1). Similar to the Ru-catalyzed aldol reaction with nitriles and aldehydes,¹⁶ coordination of the nitrile to the Ir complex may assist in the facile activation of the α -C–H bond of **1**. Further study on the detailed mechanism of the present reaction is currently in progress.

In conclusion, we have developed the Ir-catalyzed alkylation of active methylene compounds with alcohols or a diol to give the corresponding α -alkylated compounds in good yields. This method provides a clean route to α -alkylated methylene compounds which generates only water as a by-product.



This work was supported by the "High-Tech Research Center" Project for Private Universities: matching fund subsidy from the Ministry of Education, Culture, Sports, Science and Technology, 2005–2009, and Daicel Chemical Industries, Ltd.

Notes and references

‡ Typical reaction procedure for the alkylation (entry 3 in Table 1): a mixture of 1a (1 mmol, 141 mg), 2a (2 mmol, 148 mg), [IrCl(coe)₂]₂ (0.05 mmol, 45 mg) and PPh₃ (0.2 mmol, 52 mg) in *p*-xylene (1 cm^3) was placed in a 10 cm³ Schlenk flask under an Ar atmosphere and the resulting solution was stirred at 130 °C for 15 h. The conversion and the yield of product were estimated from the peak areas based on the internal standardtechnique using GC and showed that 3aa was obtained in >99% yield. The product (3aa) was isolated in 96% yield (190 mg) using column chromatography (230-400 mesh silica gel, *n*-hexane-ethyl acetate = 15-30 : 1); $\delta_{\rm H}$ (270 MHz; CDCl₃; Me₄Si) 0.91–0.98 (m, 6H), 1.32–1.73 (m, 8H), 1.91–1.99 (m, 2H), 3.50 (t, J = 7 Hz, 1H) and 4.21 (t, J = 7 Hz, 2H); $\delta_{\rm C}$ (67.5 MHz; CDCl₃; Me₄Si) 13.51 (CH₃), 13.58 (CH₃), 18.88 (CH₃), 21.85 (CH₂), 28.77 (CH₂), 29.52 (CH₂), 30.30 (CH₂), 37.52 (CH), 66.43 (CH₂), 116.52 (C) and 166.25 (C). v_{max} /cm⁻¹ 2965, 2874, 2249, 1751, 1466, 1382, 1252, 1121, 1060, 1020 and 961; m/z (EI) 197.1414 (M⁺; C11H19NO2 requires 197.1416), 142 (15%), 82 (22), 69 (36), 57 (100) and 41 (61).

- (a) T. Naota, H. Taki, M. Mizuno and S.-I. Murahashi, J. Am. Chem. Soc., 1989, 111, 5954; (b) S.-I. Murahashi, T. Naota, H. Taki, M. Mizuno, H. Takaya, S. Komiya, Y. Mizuho, N. Oyasato, M. Hiraoka, M. Hirano and A. Fukuoka, J. Am. Chem. Soc., 1995, 117, 12436; (c) S.-I. Murahashi and H. Takaya, Acc. Chem. Res., 2000, 33, 225; (d) R. Grigg, T. R. B. Mitchell, S. Sutthivaiyakit and N. Tongpenyai, Tetrahedron Lett., 1981, 22, 4107; (e) R. Kuwano, H. Miyazaki and Y. Ito, Chem. Commun., 1998, 71; (f) Y. Ito, M. Sawamura and T. Hayashi, J. Am. Chem. Soc., 1986, 108, 6405.
- 2 K. Taguchi, H. Nakagawa, T. Hirabayashi, S. Sakaguchi and Y. Ishii, J. Am. Chem. Soc., 2004, 126, 72.
- 3 Communicated in part: A. Izumi, S. Sakaguchi and Y. Ishii, *Abstract of Papers, 85th Annual Meeting of Chemical Society of Japan*, Yokohama, 2005, 3B3-33.
- 4 C. Löfberg, R. Grigg, M. A. Whittaker, A. Keep and A. Derrick, J. Org. Chem., 2006, 71, 8023.
- 5 K. Motokura, D. Nishimura, K. Mori, T. Mizugaki, K. Ebitani and K. Kaneda, J. Am. Chem. Soc., 2004, 126, 5662.
- 6 Even though a reaction was carried out in the presence of a base $(Cs_2CO_3, 0.2 \text{ mmol}, 20 \text{ mol}\%)$ based on **1d**) under the same reaction conditions as entry 3 in Table 3, no alkylation product was obtained, but the transesterfication product, butyl 2-cyanopropionate, was obtained in 46% yield.
- 7 A. Izumi, Y. Obora, S. Sakaguchi and Y. Ishii, *Tetrahedron Lett.*, 2006, 47, 9199.
- 8 F. Liu and A. S. Goldman, Chem. Commun., 1999, 655.